ရှိ Practitioner's Docket No. 6852-1

**PATENT** 

Preliminary Classification:
Proposed Class:
Subclass:

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s):

Mark Web Helweg

For (title):

PRICE CHARTING SYSTEM AND TECHNIQUE

### CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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[] deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

[] with sufficient postage as first class mail.

[x] as "Express Mail Post Office to Address"
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(mandatory)

#### **TRANSMISSION**

[]	transmitted by facsimile to the Patent and Trademark Office (703)	

Date: March 24, 2000

Michelle E. Hujar
Signature

Michelle E. Hujar

(type or print name of person certifying)

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal--page 1 of 14)

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### 1. Type of Application

This transmittal is for an original (nonprovisional) application.

## 2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

49 Page(s) of Specification3 Page(s) of Claims44 Sheet(s) of Drawing(s)--Informal

### B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney 1 Page(s) of abstract Small Entity Statement

### 3. Declaration or Oath

Enclosed

Executed by:
\* inventor.

### 4. Inventorship Statement

The inventorship for all the claims in this application is the same.

## 5. Language

English

# 6. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED									
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16 \$690.00				
Total Claims (37 CFR 1.16(c))	22	- 20 =	2 x	\$18.00	\$36.00				
Independent Clair				070.00					
(37 CFR 1.16(b))  Multiple Depende		- 3 =	0 x	\$78.00	\$0.00				
Claim(s), if any (37 CFR 1.16(d))			+	\$260.00	\$0.00				
F	iling Fee Calcula	tion			\$363.00				
7. Fee Payn	nent Being Made	e at This Time							
Enclosed	Filing Fee				\$363.00				
	Total Fees Enclo	osed			\$363.00				

# 8. Method of Payment of Fees

Check in the amount of \$363.00 is attached.

# 9. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 15-0450.

# 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

# 10. Instructions as to Overpayment

Refund.

Reg. No.: 32,712

Tel. No.: 330-864-5550 Customer No.: 021324 Signature of Practitioner

Scott M. Oldham Oldham & Oldham Co., L.P.A.

Twin Oaks Estate 1225 W. Market Street Akron, OH 44313

#### Practitioner's Docket No. 6852-1

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Helweg, Mark Web; ,

Application No.:

Filed on:

Title:

PRICE CHARTING SYSTEM AND TECHNIQUE

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b)--INDEPENDENT INVENTOR

As a below named inventor, I hereby state that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office, with regard to the invention described in the specification filed herewith, with title as listed above.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

No person, concern or organization exists to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Mark Web Helweg

Signature of Inventor

Date 1-21-2000